

## Proposed Audit Plan 2020/21

An outline of our proposed work is detailed below and, in accordance with our proposed SLA, we will provide 50 audit days.

A key objective of Internal Audit's work is to provide MRWA management with independent assurance as to the effectiveness of the control, risk and governance environment within their respective areas of responsibility.

The audit areas have been ranked in order of risk, i.e. high, medium or low.

The plan provides a balanced approach to the review of the control risk and governance environment and includes reviews of: -

- **Resource Recovery Contract (RRC) (High Risk)**

A review of the effectiveness of the control environment for the RRC contract.

- **Waste Contract – Veolia (High Risk)**

A review of the control environment for the contractual arrangements and financial transactions with Veolia.

- **Levy Calculation (Medium Risk)**

To review the robustness of internal arrangements for the calculation of the levy.

- **Health and Safety System (Medium Risk)**

To assess the robustness of the health and safety system.

- **General Data Protection Regulations (GDPR) (Medium Risk)**

To provide an assurance on the Authority's GDPR arrangements.

- **Corporate Governance (Low Risk)**

To review the effectiveness of the corporate governance framework which supports the Annual Governance Statement.

When allocating resources, priority will be given to high and medium risk reviews.