

#### MERSEYSIDE WASTE DISPOSAL AUTHORITY

#### **FORWARD PLAN**

Publication Date: December 2004.

#### Introduction

In accordance with the Local Government Act 2000, the Authority publishes a "Forward Plan" of "Key Decisions".

The Forward Plan is published on a regular basis and covers key issues to be dealt with in the coming months. It is a publicly available document and its purpose is to reinforce the openness and accountability of the Authority's decision-making process.

The Plan seeks to anticipate the issues that will be the subject of a Key Decision during that period. For each item the Plan includes:

- The item's title and a short description of the decision being sought.
- An indication of who will be making the decision.
- The Scrutiny arrangements for the decision.
- An indication of when the decision is expected to be made. This may be a specific date i.e. the date of a meeting, or a period during which the decision is likely to be made.
- A list of Supporting Papers. These will be documents which the decision-maker(s) will consider or take into account when making the decision.
- An indication of what consultation will take place on the item which is the subject
  of the proposed decision. This will include an indication as to who will be
  consulted (i.e. principal groups and organisations) and how that consultation will
  be conducted.
- An indication of how and to whom representations should be made on the item and a deadline for their receipt.

Within the Plan, the items are listed in the chronological order in which it is anticipated that the decisions will be made.

The decision in respect of each key decision is published within five days of it having been made. This is open for inspection at the Authority Offices and on the Authority's web site.

#### **Definition of a Key Decision**

The definition of a Key Decision is set out in the Authority's Constitution. It is as follows:-

A key decision is an executive decision:-

- 1. incurring expenditure or making savings in excess of £250,000, unless the specific expenditure or saving has previously been agreed by the Authority, or
- 2. Is, in the view of the Director, in consultation with the lead Member significant in terms of its effects on communities living or working in Merseyside

A decision will be considered financially significant if:-

- a) in the case of revenue expenditure, it results in incurring expenditure or making savings of £250,000 or greater;
- b) in the case of capital expenditure, the capital expenditure/savings are in excess of £1 Million.

unless the specific expenditure or savings have previously been agreed by the Authority or have been taken in line with the Authority's Financial Management Policy.

In determining whether a decision is significant in terms of its effect on communities, the Director and lead Member will give consideration to:-

- a) the number of residents/service users/District Council areas that will be affected;
- b) whether the impact is short term, long term or permanent;
- c) the impact on the community in terms of the economic, social and environmental well-being.

#### **Decision-Makers**

Key Decisions will be made by the Authority. The Authority, at its regular meetings will receive a report on each key decision, and will consider, where necessary any report which has been 'called-in' under the Authority's scrutiny arrangements.

#### Consultation

The Director, in taking decisions under delegated powers, will consult with the relevant Authority members and other key stakeholders, in accordance with those identified in the Forward Plan.

#### **Authority Meetings**

The Authority will meet formally approximately every quarter. Meeting dates are published on the web site <a href="www.merseysidewda.gov.uk">www.merseysidewda.gov.uk</a>. Each Authority meeting will be in two parts. The first part of the meeting will consider the Key Decisions contained in the Forward Plan, and any other matters determined by the Chairman. The second part of the meeting will be made available for any scrutiny discussions.

The current schedule of meetings is as follows:

28th January 2005 (Ordinary and Budget) 15th April 2005

### **Scrutiny Arrangements**

Two Members of the Authority may request that the Chairman place a delegated decision matter on the Agenda of an Authority regular meeting as a 'scrutiny item'.

### **Information Reports**

The Authority will periodically publish information reports about its Policies, Strategies and Performance on the web site <a href="https://www.merseysidewda.gov.uk">www.merseysidewda.gov.uk</a>

#### **Contacts**

If you have any queries or comments regarding the contents or format of this document please direct them to Mandy Valentine (Support Services Manager) on 0151-255-1444 or by e-mail at enquiries@merseysidewda.gov.uk

### **AUTHORITY MEMBERSHIP 2003/04**

COUNCILLOR	Portfolio Area	Address and Contact Details
J Fletcher (Chairman) (St Helens)	<ul> <li>Strategy &amp; Forward Planning</li> <li>Finance</li> <li>Performance Management</li> <li>Best Value</li> <li>Communications &amp; PR</li> </ul>	Leaders Office St Helens MBC Town Hall Victoria Square St Helens WA10 1HP Tel: 01744 456103 Email: deputyleaderofthecouncil@ sthelens.gov.uk
B Swann (Deputy Ch) (Knowsley)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	86 Trispen Close Halewood Liverpool L26 9YR Tel: 0151 487 8974 Email: bob.swann@knowsley.gov.uk
D Tattersall (Sefton)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	3 Beresford Drive Southport PR9 7JY Email: david@tattersall95.freeserve.co. uk
P Keaveney (Liverpool)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	75 Cantebury Street Liverpool L19 8LQ Tel: 0151 494 0341 Email: paula.keaveney@ liverpool.gov.uk
N Small (Liverpool)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	167 Royal Quay Liverpool L3 4EX Email: nick.small@liverpool.gov.uk
K Cluskey (Sefton)	<ul><li>Procurement</li><li>Risk Management</li><li>Audit</li></ul>	39 Kent Avenue Litherland Liverpool L21 7QJ Tel: 0151 920 3704 Email: Not Available

COUNCILLOR	Portfolio Area	Address and Contact Details
R Oglethorpe (Liverpool)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	13 Lumley Street Liverpool L19 1TY Tel: 0151 427 3070 Email: richard.oglethorpe@ liverpool.gov.uk
J Salter (Wirral)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	7 Church Meadow Close Egremont Wallasey CH44 8HQ Tel: 0151 638 7184 (home) Email: johnsalter@wirral.gov.uk
S Moseley (Wirral)	<ul><li>Scrutiny</li><li>Public Consultation</li></ul>	22 Bradgate Close Moreton Wirral CH46 6FN Tel: 07785 182536 Fax: 0151 677 5059 Email: suzannemoseley@wirral.gov.uk
OFFICERS		
Carl Beer Director	Various delegated matters	6th Floor, North House 17 North John Street Liverpool L2 5QY Tele: 0151 255 1444 Email: carlbeer@ merseysidewda.gov.uk
Carole Hudson Clerk	Various delegated matters	St Helens MBC Town Hall St Helens WA10 1HP Tele: 01744 456101 Email: carolehudson@sthelens.gov.uk
Ian Roberts Treasurer	Various delegated matters	St Helens MBC Town Hall St Helens WA10 1HP Tele: 01744 456101 Email: ianroberts@sthelens.gov.uk
Peter Blackburn Solicitor	Various delegated matters	St Helens MBC Town Hall St Helens WA10 1HP Tele: 01744 456101 Email: peterblackburn@ sthelens.gov.uk

#### **CONTENTS**

Report	Portfolio	Date	Ref	Contact Officer
SAS 610 Report	Governance and Audit Committee	Cancelled - no issues to consider		
	Audit Committee	issues to consider		
			K13_04	Mandy Valentine
Joint Municipal Waste Strategy for Merseyside - Draft Strategy	Chairman	28th January 2005		
lor Merseyside - Drait Strategy				
			K14_04	Carl Beer
Changes to the Levy	Chairman	28th January 2005		
Apportionment Mechanism				
			K22_04	John Webster
Prudential Indicators 2005/06 to	Chairman	28th January 2005		
2007/08			K23_04	John Webster
Revenue Budget 2005/06	Chairman	28th January 2005	K15_04	John Webster
Corporate Social Responsibility	Chairman	28th January 2005		
Policy				
			K16 04	Calvin Stockton
HR Policy Review	Chairman	28th January 2005	K18_04	Paula Pocock
Clean Merseyside Centre Succession Strategy	Chairman	28th January 2005		
Succession Strategy				
			K20 04	Calvin Stockton
Annual Audit Letter	Lead Member Cllr.	28th January 2005		
	Cluskey			
			1/04 04	Manakaya
Strategy Options for Managing	Chairman	28th January 2005	K21_04	Mandy Valentine
Landfill Allowances	Ondimian	Zour Garidary 2000		
				Carl Beer / Ian
			K25 04	Roberts
Timetable of Authority Meetings	Chairman	15th April 2005		
			K19_04	Mandy Valentine
Service Plan	Chairman	15th April 2005	K24_04	Carl Beer

ITEM FOR CONSIDERATION	Joint Municipal Waste Strategy for Merseyside – Draft Strategy				
FILE REF DECISION MAKER	K14_04 Full Authority				
KEY DECISION CRITERIA	Financial? Community Impact? Please Yes State:				
REASON FOR MEETING KEY DECISION CRITERIA	Joint Waste Strategy for Merseyside identifying long term objectives, targets and delivery mechanisms. Significant and widespread community impact. Member views are invited.				
SCRUTINY AREA	Strategy and Forward Planning				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION					
RISK MANAGEMENT IMPLICATIONS	2. Failure signific manag 3. Signific divert signific divert signific 4. Increatargets		nerent strate procurement is. et will result f itities of biod landfill. re to meet re	egy will have t of new waste from failure to degradeable ecycling	
PRIOR CONSULTATION	Draft strategy considered by Members (16 <sup>th</sup> Sept 04) Public Consultation exercises				
REPRESENTATIONS	_	Carl Beer or by erseysidewda.ge			

ITEM FOR CONSIDERATION	Changes to the Levy Apportionment Mechanism					
FILE REF	K22 04					
DECISION MAKER	_	Full Authority				
KEY DECISION CRITERIA	Financial? Yes	Community Impact?	Other - Please State:			
REASON FOR MEETING KEY DECISION CRITERIA	Changes to the methodology for apportioning the levy would have a financial impact on all five Merseyside Districts. The Authority will be asked to agree a methodology for implementation in 2006/07 subject to the unanimous agreement of all constituent district councils.					
SCRUTINY AREA	Finance					
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005					
LIST OF BACKGROUND PAPERS FOR CONSIDERATION						
RISK MANAGEMENT IMPLICATIONS	<ol> <li>The default mechanism for levy apportionment does not reflect waste arisings and does not directly support performance improvements by individual councils.</li> <li>Failure to consider other apportionment methods may create a disincentive to councils to reduce or divert waste.</li> </ol>					
PRIOR CONSULTATION	Merseyside Chief Finance Officers Group					
REPRESENTATIONS		ohn Webster or er@sthelens.go	•	)		

ITEM FOR	Prudential Indicators 2005/06 to 2007/08				
CONSIDERATION	Trudential indicators 2003/00 to 2007/00				
FILE REF	K23_04				
DECISION MAKER	Full Authority				
KEY DECISION CRITERIA	Financial? Community Impact? Please State:				
REASON FOR MEETING KEY DECISION CRITERIA	Sets the Treasury Management and Prudential Capital Borrowing Limits for a three year period.				
SCRUTINY AREA	Finance				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	Budget File 2005/06				
RISK MANAGEMENT IMPLICATIONS	Failure to set and adhere to appropriate levels would mean that the Authority would not meet its statutory requirements.				
PRIOR CONSULTATION	Members Workshop Nov 2004 discussed proposed capital programme.				
REPRESENTATIONS	_	ohn Webster o er@sthelens.go	•	)	

ITEM FOR CONSIDERATION	Revenue Budget 2005/06				
FILE REF	K15_04				
DECISION MAKER	Full Authority				
KEY DECISION CRITERIA	Financial? Community Impact? Please State:				
REASON FOR MEETING KEY DECISION CRITERIA	Sets Annual Budget for the Authority and determines the levy apportioned to each of the constituent district councils.				
SCRUTINY AREA	Finance				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	Budget Papers				
RISK MANAGEMENT IMPLICATIONS	Failure to set appropriate levels of expenditure may lead to poor service delivery and has significant financial and performance implications on the constituent district councils.				
PRIOR CONSULTATION	Members Workshop				
REPRESENTATIONS	In writing to John Webster or by email to JohnPWebster@sthelens.gov.uk				

### MERSEYSIDE WASTE DISPOSAL AUTHORITY

### FORWARD PLAN

ITEM FOR CONSIDERATION	Corporate Social Responsibility Policy				
FILE REF	K16_04				
DECISION MAKER	Merseyside V	Vaste Disposal	Authority		
KEY DECISION CRITERIA	Financial? Yes	Community Impact? Yes	Other - Please State:		
REASON FOR MEETING KEY DECISION CRITERIA	Seeks Members' approval of a policy on the implementation of Corporate Social Responsibility.  Corporate Social Responsibility is about the behaviour of companies and organisations and their contribution to sustainable development goals. CSR is defined as  "A concept whereby companies [and organisations] integrate social and environmental concerns in their business operations and in their interaction with their stakeholders on a voluntary basis"  This approach means that the Authority will be able to engage social progress, effective protection of the environment, careful use of natural resources and economic growth and employment within its				
SCRUTINY AREA	Strategy and Forward Planning				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	Corporate Social Responsibility. A Government update, 2004. Department of Trade and Industry      Draft Policy Agreement				
RISK MANAGEMENT IMPLICATIONS	An agreement on this draft policy is necessary so that the Authority will be able to meet the Government's sustainable development goals and its own commitment to sustainable management.				
PRIOR CONSULTATION	All Members				
CONSULTATION REPRESENTATIONS	_	ony Scott (Was		-	

ITEM FOR CONSIDERATION	Review of HR Policies				
FILE REF	K18_04				
DECISION MAKER	Full Authority				
KEY DECISION CRITERIA	Financial? Community Impact? Please State: Setting Policy				
REASON FOR MEETING KEY DECISION CRITERIA	To approve Authority H R Policies to reflect the provisions contained within the Employment Relations Act 1999.				
SCRUTINY AREA	Performance Management				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION					
RISK MANAGEMENT IMPLICATIONS	Failure to meet statutory requirements may lead to significant employment relations issues.				
PRIOR CONSULTATION	Unison				
REPRESENTATIONS	_	Paula Pocock o @merseysidev	•	)	

ITEM FOR CONSIDERATION	Clean Merseyside Centre – Succession Strategy					
FILE REF	K20_04	K20_04				
DECISION MAKER	Merseyside Waste Disposal Authority					
KEY DECISION CRITERIA	Financial? Community Impact? Please State:					
REASON FOR MEETING KEY DECISION CRITERIA	Members approval of a Final Options Report for the future succession strategy of the CMC after completion of the current programme on 31 <sup>st</sup> January 2006  The report will consider the potential future development of CMC within a North West Regional Market Development Context					
SCRUTINY AREA	Strategy and Forward Planning					
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005					
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	Final Options Scoping Study Report on the development of a North West Regional Market Development Programme and related succession strategy for CMC					
RISK MANAGEMENT IMPLICATIONS	The future succession strategy for CMC needs approval in order to implement the necessary lead in time to apply the most appropriate option for CMC by 31st January 2006					
PRIOR CONSULTATION	All Members					
REPRESENTATIONS	In writing to Calvin Stockton (Waste Strategy Manager, MWDA) or by email to calvin.Stockton@merseysidewda.gov.uk					

ITEM FOR CONSIDERATION	Annual Audi	t Letter			
FILE REF	K21_04				
DECISION MAKER	Full Autho	rity			
KEY DECISION CRITERIA	Financial? Community Impact? Y State: Requirement to receive Auditor's letter				
REASON FOR MEETING KEY DECISION CRITERIA	To consider the Annual Audit Letter prepared by the Audit Commission detailing their opinion on various aspects of the Authority's performance and financial standing and proposing actions for improvement.				
SCRUTINY AREA	Corporate Governance				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	None				
RISK MANAGEMENT IMPLICATIONS	Considering the findings and recommendations of the auditor at Member level is best practice.				
PRIOR CONSULTATION	None				
REPRESENTATIONS		Mandy Valentine			

ITEM FOR CONSIDERATION	Strategy Options for Managing Landfill Allowances				
FILE REF	K25_04				
DECISION MAKER	Full Autho	rity			
KEY DECISION CRITERIA	Financial? Community Impact? Please Yes State:				
REASON FOR MEETING KEY DECISION CRITERIA	To determine a strategy for the management of landfill permits (inc. purchasing, banking or borrowing options) and to identify potential investments to increase the diversion of biodegradeable municipal waste (BMW) from landfill.				
SCRUTINY AREA	Finance				
DATE/ PERIOD FOR DECISION	28 <sup>th</sup> January 2005				
LIST OF BACKGROUND PAPERS FOR CONSIDERATION					
RISK MANAGEMENT IMPLICATIONS	<ol> <li>Failure to set clear guidance on the management of landfill allowances could make the Authority vulnerable to financial mismanagement.</li> <li>Failure to plan investments to increase diversion of BMW in the short-term would lead to increased financial penalties.</li> </ol>				
PRIOR CONSULTATION	Members Workshop (November 2004)				
REPRESENTATIONS	_	Carl Beer or by or serseysidewda.go			

ITEM FOR CONSIDERATION	Annual Meeting and Timetable of Authority Meetings					
FILE REF	K19_04					
DECISION MAKER	Full Authority					
KEY DECISION CRITERIA	Financial?	Community Impact? X	Other - Please State:	Requirement to set AGM		
REASON FOR MEETING KEY DECISION CRITERIA	To set the date of the Annual Meeting of the Authority and identify an appropriate timetable of meetings for the 2005/06 Municipal Year					
SCRUTINY AREA	Corporate Governance					
DATE/ PERIOD FOR DECISION	15 <sup>th</sup> April 2005					
LIST OF BACKGROUND PAPERS FOR CONSIDERATION	None					
RISK MANAGEMENT IMPLICATIONS	Statutory requirement to set a date for the Authority's Annual Meeting which must take place on or before 30 <sup>th</sup> June each year.					
PRIOR CONSULTATION	None					
REPRESENTATIONS	In writing to Mandy Valentine or by email to mandy.valentine@merseysidewda.gov.uk					

ITEM FOR CONSIDERATION	Service Plan					
FILE REF	K24_04					
DECISION MAKER	Full Authority					
KEY DECISION CRITERIA	Financial?	Community Impact? Yes	Other - Please State:			
REASON FOR MEETING KEY DECISION CRITERIA	Determines the Authority's objectives and plans to deliver those objectives in the future. Will take into account efficiency improvement (Gershon)					
SCRUTINY AREA	Strategy and Forward Planning					
DATE/ PERIOD FOR DECISION	15 <sup>th</sup> April 2005					
LIST OF BACKGROUND PAPERS FOR CONSIDERATION						
RISK MANAGEMENT IMPLICATIONS	Failure to set clear objectives will result in poor corporate governance     Failure to plan and prioritise will lead to inefficient and ineffective use of resources.					
PRIOR CONSULTATION	Members workshop expected to take place early in 2005.					
REPRESENTATIONS	In writing to Carl Beer or by email to carlbeer@merseysidewda.gov.uk					